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Super-enrichment of lithium and niobium in the upper Permian Heshan Formation in Pingguo, Guangxi, China

Kunyue LING¹, Hanjie WEN^{1,2,3*}, Qizuan ZHANG⁴, Chongguang LUO¹, Hannian GU¹, Shengjiang DU⁵ & Wenxiu YU⁶

¹ State Key Laboratory of Ore Deposit Geochemistry, Institute of Geochemistry, Chinese Academy of Sciences, Guiyang 550081, China; ² College of Earth and Planetary Sciences, University of Chinese Academy of Sciences, Beijing 100049, China; ³ College of Earth Science and Resources, Chang'an University, Xi'an 710054, China;

⁴ Guangxi Bureau of Geology & Mineral Prospecting & Exploitation, Nanning 530023, China;

⁵ State Key Laboratory of Nuclear Resources and Environment, East China University of Technology, Nanchang 330013, China;

⁶ Faculty of Land Resource Engineering, Kunming University of Science and Technology, Kunming 650093, China

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Abstract The upper Permian Heshan Formation in Pingguo, Guangxi, China, is strongly enriched in lithium (Li) and niobium (Nb). The lower bauxite layer contains 0.02–0.04 wt.% Nb₂O₅ (averaging 0.035 wt.%), and the overlying clay rock layer contains 0.06–1.05 wt.% Li₂O (averaging 0.44 wt.%), both of which exceed the cut-off grades for independent Li and Nb deposits and are therefore highly prospective. In this study, a preliminary discussion of the genesis of the Li and Nb enrichment is presented to serve as a reference for the investigation, evaluation, and prospecting for clay- and sedimentary-type Li and Nb ores in other regions. The preliminary conclusions are that: (1) The bauxite ore contains abundant anatase, which positively correlates with the whole-rock concentrations of TiO₂ and Nb, indicating that the Nb is hosted mainly in the anatase; (2) the cookeite content in the clay rock positively correlates with the whole-rock Li concentration, indicating that cookeite is the main carrier mineral of Li, and its genesis can be attributed to reactions between clay minerals (e.g., pyrophyllite and illite) and Li-Mg-rich underground brine or pore water and groundwater in coastal areas; and (3) provenance analysis of immobile elements (Al, Ti, Nb, Ta, Zr, Hf, and rare earth elements) suggests distinct sources for the Nb-rich bauxite and the overlying Li-rich clay rocks, with the bauxite and Nb originating largely from alkaline felsic rocks in the Emeishan Large Igneous Province, and the clay rocks being derived from peraluminous or moderately fractionated felsic rocks associated with Permian Paleo-Tethyan magmatic arcs.

Keywords Heshan Formation, Critical metal, Lithium, Niobium, Super-enrichment

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1. Introduction

Lithium (Li) and Niobium (Nb) are critical metals for modern energy and high-tech industries (Nico et al., 2016; Chen, 2019; Mao et al., 2019); their ores are usually found in granite and pegmatite, but are rare in sedimentary rocks (Zhu et al., 2001; Wang et al., 2013). In recent years, super-enrichment of Nb, zirconium (Zr), and rare earth elements (REEs) have been reported from the upper Permian Xuanwei and Longtan Formations in the eastern Yunnan, southern Sichuan, and western Guizhou regions of China, where they are thought to be derived from the Emeishan Large Igneous Province (ELIP) basalts or felsic rocks (He et al., 2007: Dai et al., 2010; Zhao et al., 2016; Du et al., 2019). Since the 1970s, several Li-rich rocks have been discovered in China's karstic (sedimentary) bauxite ore-bearing rocks in Guizhou,

^{*} Corresponding author (email: wenhanjie@vip.gyig.ac.cn)

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Henan, Shanxi, Yunnan, Guangxi, and Chongqing (Li et al., 2012; Liu et al., 2013; Wang et al., 2013; Zhao et al., 2015; Yang et al., 2019; Ling et al., 2018, 2020). Findings from these studies show that some sedimentary rocks are rich in Li and Nb and have potential as independent or associated mineral resources.

Recently, super-enrichment of Li was discovered in clay rocks and bauxitic clay of the Lower Carboniferous Jiujialu Formation in central Guizhou and the lower Permian Daoshitou Formation in central Yunnan (Wen et al., 2020). Analyses of more than 1000 samples show that the highest Li₂O content is >1.1%, with an average value of 0.3% $(1400 \text{ ppm}, 1 \text{ ppm}=1 \text{ } \mu\text{g}/\text{g})$ (Wen et al., 2020). As Li is mainly hosted by clay minerals, and its formation is closely related to the carbonate basement, this Li deposit is defined as carbonate-hosted clav-type and constitutes a new Li resource (Wen et al., 2020). Based on the metallogenic model for carbonate-hosted clay-type Li deposit, an investigation and evaluation of Li resources in the karstic bauxite deposit region in western Guangxi resulted in the discovery of Li (maximum Li₂O content of 1.05%) and Nb (maximum Nb₂ O₅ content of 0.04%) in the upper Permian Heshan Formation in Pingguo. This is an important breakthrough in prospecting for Li resource. In this study, a preliminary discussion of the mode of occurrence and enrichment mechanisms of Li and Nb is presented to serve as a reference for the investigation and evaluation of sedimentary-type Li and Nb resources in other regions.

2. Geological background

The Youjiang Basin (also known as the Nanpanjiang Basin) is located in western Guangxi in the southwestern region of the Yangtze Plate, between to the Song Ma suture zone in the south and the Permian (263–251 Ma) ELIP in the northwest (Figure 1a; Wu et al., 1999; Xu et al., 2001; Fan et al., 2004; Zhang et al., 2006; Cai and Zhang, 2009; Shellnutt, 2014). During the Early Paleozoic, the Yangtze Plate and the Indochina Block separated from Gondwana, drifted northward, and were subducted, forming the Permian (277–252 Ma) island arc igneous rocks, such as those found in the Truong Son belt. At the end of the Triassic, the plates amalgamated to form a single block, connected by the Song Ma suture zone (Metcalfe, 2006; Halpin et al., 2016; Ke et al., 2018; Xu et al., 2020).

At the end of the middle Permian, the Dongwu movement led to domal uplift in the upper Yangtze region and caused large-scale marine regression in southern China (He et al., 2003a; Sun et al., 2010). Uplift lasted ~3 Myr, during which the central area rose by several kilometres and western Guangxi by more than 100 m; this led to weathering and denudation of the middle Permian Maokou Formation limestone in western Guangxi to form the paleokarst landform (He et al., 2003a, 2010). At the same time, during the middle-late Permian (around the Guadalupian-Lopingian Boundary), massive volcanic eruptions occurred in the ELIP, covering an area of more than 250000 km². These were primarily basaltic and pyroclastic during the early stage, before felsic volcanic rocks such as trachyte and rhyolite were formed during the late stage, distributed over an area of ~10000 km² (Shellnutt, 2014; Yang et al., 2015). The greenhouse effect and acid rain caused by the ELIP eruptions increased continental weathering. Under the influence of strong weathering and denudation, the ELIP volcanic rocks developed weathering crusts, which were transported to and deposited in nearby basins (e.g., the Youjiang Basin) to form the Wuchiapingian clastic mainly including Xuanwei, Longtan, Wuchiaping, and Heshan Formation. The inner-intermediate zone of the ELIP volcanic rocks was not eroded entirely because of its considerable thickness (maximum of >5000 m), thus the Xuanwei Formation directly overlies the Emeishan basalts. The intermediate-outer zone of the ELIP volcanic rocks was completely eroded in some areas, in which the Longtan and Wuchiaping Formations were deposited upon the Emeishan Formation igneous rocks or unconformably on Carboniferous-Permian limestone. The outer zone of the ELIP volcanic rocks in western Guangxi was relatively thin (300-500 m) and was completely eroded, resulting in the Heshan Formation unconformably overlying the Maokou Formation limestone. The ELIP volcanic rocks and/or the Maokou Formation limestone provided stable sources for the development of Heshan Formation bauxites in western Guangxi area (Figure 1c; He et al., 2003a, 2010; Fan et al., 2008; Liu et al., 2017; Yu et al., 2019).

By the Permian, the Youjiang Basin comprised carbonate platforms alternating with inter-platform troughs. The troughs contained deep-water clastic deposits, and the platforms were dominated by shallow-water clastic and carbonate deposits (Figure 1a). These platform deposits, which constitute the middle Permian Maokou Formation, consist primarily of thick bioclastic carbonate and calcarenite. Unconformably overlying the Maokou Formation, the upper Permian Heshan Formation consists of a lower section of clastic rocks (generally bauxite and coal seams), and an upper section of limestone (Figure 1b; Yu et al., 2016). The clastic rocks is subdivided into a lower layer (~8 m thick) of purple, grey, or black bauxite, and pisolitic and oolitic bauxites, often with hematite or iron-rich bauxites, and an overlying clay rock layer (~10 m thick) of black carbonaceous clay rocks or coal seams, with minor grey and white clay rocks and silty clay rocks (Figure 1b; Yu et al., 2016).



Figure 1 (a) Generalized tectonic domains of the southwestern Yangtze Plate and the middle-late Permian paleogeography of the Youjiang Basin (modified from Yu et al., 2016). The ages of the ELIP rocks are from Shellnutt (2014), and the ages of the Permian arc-related rocks are from Li et al. (2006) and Halpin et al. (2016). (b) Geological features of the Pingguo region, Guangxi (modified from Guangxi Bureau of Geology and Mineral Exploration and Development, 1985). (c) Paleogeographic map after the main phase of the ELIP activity, showing the location of the Li-Nb-rich Heshan Formation (modified from Zhao et al., 2016).

3. Sampling and analytical methods

Samples of the bauxite and clay rock layers of the lower part of the Heshan Formation were collected from complete sections in Jiaomei village (JM) in Jiucheng town and Xinxu village (XX) in Taiping town, Pingguo County, Guangxi. In addition, three samples of Maokou Formation limestone (XX-01, XX-02, and XX-03) were collected from the Xinxu section. Samples were collected and numbered sequentially in ascending stratigraphic order (Figure 1b). Representative samples were selected to make polished thin sections for scanning electron microscopy-energy dispersive spectroscopy (SEM-EDS). Analysis of the whole-rock mineral phase was performed using a Panalytical Empyrean X-ray powder crystal diffractometer (XRD) equipped with a PIXcel3D detector. The analytical method and conditions were as follows: Cu Ka target, 40 kV, 40 mA, and auto-flushing method for quantitative assessment of mineral contents. JADE software was used to compare the main diffraction peaks (d value and intensity) with standard cards to determine the main mineral phase composition, based on the best match. The semi-quantitative calculation used the formula $W_A = (I_A/R_A)/(I_A/R_A + I_B/R_B + I_C/R_C + ...) \times 100\%$, where W_A is the weight percentage of the mineral phase, I_A is the strongest peak, and R_A is the reference intensity ratio (RIR) of the mineral phase to be measured (Table 1). The wholerock trace elements and REE abundances were analysed through solution inductively coupled plasma-mass spectrometry (ICP-MS) analysis. The analysis was performed using a PlasmaQuant MS Elite ICP-MS, using the international standards OU-6, AMH-1, and GBPG-1 for quality control. Details of the method are described by Franzini et al. (1972) and Qi et al., (2000). The results have a relative standard deviation (RSD) better than 10% (Table 2). The analyses noted above were carried out at the Institute of Geochemistry, Chinese Academy of Sciences. In addition, analysis of major elements was performed by ALS Minerals-Guangzhou (China) and the analytical method were as follows: 200mesh powder samples were melted using lithium metaborate or lithium borate, cooled, and then analysed by X-ray fluorescence spectroscopy (Table 2).

4. Results

4.1 Mineralogical features

The SEM-EDS and XRD analyses show that the bauxites are composed of diaspore, anatase, and illite, with small amounts

Table 1 The	mineral abundance (XRD; v	vt.%) of b	auxites and	clay rocks f	rom Pingguo	area, Gua	ngxi								
Sample No.	Lithology	Thickness (m)	Diaspore	Kaolinite	Montmoril- lonite	Illite	Cookeite	Anatase	Quartz	Pyrite	Stilpnome- lane	Dickite	Pyrophyl- lite	Gibbsite	Goethite
JM-01	Clastic bauxite	0.2	72.5			22		5.44							
JM-02	Clastic bauxite	0.4	71.2		1.1	21.8		6.07							
JM-03	Clastic bauxite	0.6	64.9			30.1		4.95							
JM-04-2	Clastic bauxite	0.8	72			18.9		3.92		5.2					
JM-05	Pisolitic bauxite	1	68.5			17.3		4.38		2.21	7.64				
JM-06	Clastic bauxite	1.2	68.4			19		5.59		1.71	5.3				
JM-07	Carbonaceous clay rock	1.4	7.26				16.8	1.38				6.86	67.7		
JM-08	Carbonaceous clay rock	1.6	10.3				12.3	2.47				4.17	65.8	4.09	
JM-08-2	Carbonaceous clay rock	1.8	1.04				6.42	2.49				5.79	80.7	3.51	
JM-08-3	Carbonaceous clay rock	2	11.2				11.6	0.82				5.55	66.4	4.27	
90-ML	Carbonaceous clay rock	2.2	8.11			3.11	25.4	1.49				8.51	47.8	5.52	
JM-10	Carbonaceous clay rock	2.4	10			2.35	8.13	0.9		1.12		5.91	99	5.65	
JM-11	Carbonaceous clay rock	2.6	7.26	1.33		41.3	40.1						9.94		
JM-12	Carbonaceous clay rock	2.8	6.57	1.17		46.5	37.4						8.35		
JM-13	Carbonaceous clay rock	Э	3.41	0.73		41.1	32.4						22.4		
JM-14	Carbonaceous clay rock	3.2	60.9	0.53		35.5	35						24.5		
JM-15	Carbonaceous clay rock	3.4	6.71	0.59		47.2	38.5						6.96		
XX-04	Iron-rich bauxite	0.2	75.1		4.55			4.33							16
XX-05	Iron-rich bauxite	0.5	93.5					4.6							1.9
90-XX	Pisolitic bauxite	0.8	96					3.94							
XX-07	Clastic bauxite	1.1	94.4	1.51				4.06							
XX-08	Pisolitic bauxite	1.4	94.1	1.2				4.11	0.64						
60-XX	Pisolitic bauxite	1.7	95.4					4.61							
XX-10	Pisolitic bauxite	2	96					3.98							
XX-11	Clastic bauxite	2.3	93.8	2.2				4.02							
XX-12	Carbonaceous clay rock	2.6	23.6	21			6.2	1.65		29.8					17.8
XX-13	Carbonaceous clay rock	2.9	29.7	63				3.01	4.27						
XX-14	Carbonaceous clay rock	3.2	3.57	94.8				1.58							
XX-15	Carbonaceous clay rock	3.5	1.3	64.2		18.8	9.34	0.86							5.43
XX-16	Carbonaceous clay rock	3.8	22.7	77.3											

756

Ling K, et al. Sci China Earth Sci May (2021) Vol.64 No.5

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of kaolinite, goethite, pyrite, chloritoid, montmorillonite and zircon (Table 1; Figures 2 and 3). Diaspore particles exhibit short prismatic or platy shapes, usually 10–50 μ m in size, with some exceeding 100 μ m (Figure 2a–2c, 2e–2f). Anatase particles are typically small (<1 μ m), although some occur as automorphic or hypautomorphic granules (>5 μ m), with some appearing as spindle-shaped anatase aggregates, which may indicate that the anatase was formed from titanium minerals (such as ilmenite) that retained their original mineral outlines (Figure 2c–2d). Pyrite often exists as aggregates, in the form of automorphic and hypautomorphic

granules, indicating a typical sedimentary origin (Figure 2a and 2e). Small numbers of kaolinite grains are present, and are lamellar and flexible (Figure 2d). The clay rocks contain a variety of minerals, including pyrophyllite, cookeite, illite, kaolinite, and diaspore. In addition, some clay rocks contain small amounts of dickite, goethite, and pyrite (Table 1; Figure 2). The pyrophyllite exhibits leaf-shaped morphologies, has the largest grain size among clay minerals (usually >20 μ m), and typically occurs on the edges of diaspores, indicating a close genetic relationship between the two (Figure 2f). The cookeite forms fine, scaly-shaped particles



Figure 2 SEM images of bauxite and clay rocks from the Heshan Formation. (a) Authigenic pyrite and platy diaspore; (b) platy diaspore; (c) coexisting anatase and diaspore; (d) anatase particles distributed randomly in kaolinite matrix; (e) coexisting diaspore and pyrite; (f) pyrophyllite on the edges of diaspores; (g) EDS analysis showing dickite/kaolinite and a small amounts of pyrite; (h) traces of microbial activity; (i) cookeite, and pyrophyllite coexisting; (j) cookeite and illite coexisting; (l) EDS patterns of selected pyrophyllite, cookeite, and illite. BE, backscattered electron image; SE, secondary electron image.



Figure 3 (Color online) XRD patterns of selected samples from the Heshan Formation in Pingguo, Guangxi. D, diaspore; A, anatase; S, stilpnomelane; C, cookeite; I, illite; Di, dickite; Py, pyrite; K, kaolinite.

($<2 \mu m$), rarely exhibits platy morphologies ($>10 \mu m$), and usually coexists with illite and pyrophyllite. In contrast, illite and pyrophyllite primarily show lamellar morphologies ($>10 \mu m$) (Figure 2i–2k). In addition, fine grained clay minerals (10–100 nm) and traces of microbial activity in JM-10 indicate that microorganisms were involved in the formation of these clay minerals. According to the EDS analysis, the mineral composition of JM-10 includes dickite or kaolinite, with a small amount of pyrite that may be related to bacterial sulphate reduction (Figure 2g and 2h).

As clay minerals in sedimentary rocks are small and commonly xenomorphic, it is difficult to identify them on the basis of morphological characteristics alone. Based on the semi-quantitative analysis (XRD) of the minerals, SEM-EDS analysis can be used to identify clay minerals more accurately according to the differences in their chemical components. For example, illite ($K_{0.7}Al_2[(Si,Al)_4O_{10}](OH)_2$) and montmorillonite ((Ca,Na)_{0.33}(Al,Mg)₂(Si₄O₁₀)(OH)₂·nH₂O) contain relatively high contents of K and Mg, respectively, which distinguishes them from other clay minerals. Kaolinite (Al₄[Si₄O₁₀](OH)₈) and pyrophyllite (Al₂[Si₄O₁₀](OH)₂) can be identified based on the difference in their Al:Si atomic ratios of 1:1 and 1:2, respectively (Figure 2l). Although Li cannot be analysed via EDS due to the low energy of its characteristic X-ray spectrum, the identification of cookeite $(\text{LiAl}_4(\text{Si}_3\text{AIO}_{10})(\text{OH})_8)$ is possible based on its unique Al:Si ratio of 5:3, which is different from other clay minerals (Figure 2l). In addition, chamosite (rich in Fe) and clinochlore (rich in Mg) can also be readily distinguished from cookeite because it contains trace Fe and Mg.

4.2 Geochemical composition

The bauxite has relatively high Al_2O_3 (54.7–79.5 wt.%, average 73.5 wt.%) and TiO₂ (2.73–5.82 wt.%, average 4.49 wt.%) contents, and comparatively low SiO₂ (average 4.19 wt.%), CaO (average 0.04 wt.%), MgO (average 0.01 wt.%), Na₂O (average 0.22 wt.%), and K₂O (average 0.03 wt.%) contents. High chemical index of alteration (CIA) values of 98.5–99.9 (average 99.4) indicate that the bauxite has experienced strong chemical weathering and water-rock interaction, resulting in the loss of mobile elements, preserving only those immobile elements such as Al and Ti (Table 2; Figure 4). The clay rocks have moderate Al_2O_3 (30.2–44.9



Figure 4 (Color online) Concentrations of selected elements and CIA values for the Jiaomei and Xinxu profile samples.

wt.%, average 38.5 wt.%) and SiO₂ (20.9–54.3 wt.%, average 40.6 wt.%) contents. CaO (average of 0.1 wt.%), MgO (average of 0.44 wt.%), Na₂O (average of 0.4 wt.%), and K₂O (average of 0.58 wt.%) contents are generally low, but higher than those of bauxite. The CIA values of the clay rocks are also high (92.8–98.1, average 96.3) and the MgO and K₂O contents are 10–50 times higher than those of bauxites, indicating that the clay rocks also experienced strong to moderate chemical weathering (Table 2; Figure 4). Other than CaO (average of 55.4 wt.%), the MgO (average of 0.39 wt.%) and other element contents in the Maokou Formation limestone are fairly low (Table 2).

The Heshan Formation sedimentary rocks in Pingguo are rich in critical metals, with bauxite rich in Nb and Zr, and clay rocks rich in Li (Table 2; Figure 4). The Li concentration in clay rocks in the Jiaomei section ranges from 1029 to 4886 ppm (average 2586 ppm; Li₂O=0.56 wt.%), which exceeds the cut-off grade for clay-type Li deposits abroad (Li > 1000 ppm) and that of China's hard-rock Li ores (Li₂O=0.5 wt.%; Figure 5a; Wang et al., 2012b, 2013; Castor and Henry, 2020). The clay rocks in the Xinxu section exhibit slightly lower Li concentrations than that of Jiaomei section, ranging from 292 to 2214 ppm (average 892 ppm; Table 2). In comparison, the Li content in the bauxite is relatively low, ranging from 2.88 to 35.5 ppm (average 10.3 ppm; Table 2; Figures 4 and 5a). The concentration of Zr and Nb are extremely high in the bauxite, with Zr in the range of 1508-2482 ppm (average 2111 ppm) and Nb content in the range of 171-279 ppm (average 241 ppm), which exceeds the lowest industrial grade for weathering crust Nb (tantalum) deposits (100–120 ppm; Nb₂O₅=0.016–0.02 wt.%; Table 2; Figures 4 and 5b). The clay rocks contain moderate Nb concentrations, ranging from 20.1 to 129 ppm (average 56.7 ppm), and Zr concentrations range from 258 to 1462 ppm (average 797 ppm; Table 2; Figures 4 and 5b). The REE contents in the bauxite are relatively low, ranging from 23.4 to 174 ppm (average 64.9 ppm); in the clay rocks, they are relatively enriched, ranging from 22.8 to 374 ppm (average 114 ppm; Table 2). The bauxites and clay rocks have average Eu/Eu* values of 0.56 and 0.41, respectively, and have strongly negative Eu anomalies (Table 2).

5. Discussion

5.1 Nb and Li occurrence

TiO₂-rich minerals, including anatase and rutile, were either inherited from the parent rock or came from the transformation of Ti-rich minerals such as ilmenite in the parent rocks through weathering and sedimentation. They are typically the main carrier minerals of Nb in sedimentary rocks (Mordberg et al., 2001; Liu et al., 2013). Mineral analysis reveals that the anatase content in the Heshan Formation, especially in the bauxite, is relatively high (Table 1; Figure 2c and 2d), and the content of anatase and TiO_2 is significantly positively correlated with the whole-rock Nb content. This indicates that anatase is the main carrier mineral of Nb, which is consistent with previous studies (Figure 6a and 6b; Hou et al., 2017). The positive correlation of Al₂O₃ vs. Zr (Figure 6c) and Al₂O₃ vs. TiO₂ content (Figure 6d) implies that, as the degree of weathering increased, the amount of mobile elements decreased, and the amount of immobile elements such as Al, Ti, Nb, and Zr increased, eventually resulting in the formation of the Nb-Ti-Zr-rich bauxite layer in the Heshan Formation (Figure 6c and 6d).

The results of the XRD and SEM-EDS analyses show that Li-rich clay rocks contain large quantities of cookeite, and whole-rock Li contents are positively correlated with cookeite contents (R^2 =0.81). The Li/cookeite ratio is 0.0069–0.0237 (average 0.0127), which is close to the theoretical value (0.0133) of the Li content in cookeite. This indicates that cookeite is the main carrier mineral of Li (Table 1; Figure 3 and 7a). Super-enrichment of Li and similar mineral assemblages (mainly kaolinite, illite, cookeite, pyrophyllite,



Figure 5 (Color online) Bivariate plots of Al₂O₃/SiO₂ vs. Li₂O (a) and Al₂O₃/SiO₂ vs. Nb₂O₅ (b). Open circles are data from Wen et al. (2020).



Figure 6 (Color online) Bivariate plots of anatase vs. Nb (a), TiO₂ vs. Nb (b), Al₂O₃ vs. Zr (c), and Al₂O₃ vs. TiO₂ (d).

dickite, and diaspore) have also been described in the bauxite ore-bearing rock of the Upper Carboniferous Benxi Formation in Henan Province, referred to as "cookeite clay rock" (Shen et al., 1986; Song et al., 1987). The significant positive correlation between whole-rock MgO and Li contents in the Li-rich clay rocks in Pingguo (R^2 =0.85) may be the result of the substitution of Li and Mg in clay minerals, owing to their similar radii and chemical properties (Li⁺=0.76 Å and Mg²⁺=0.72 Å).

In summary, the Heshan Formation bauxite contains a large amount of anatase, and the anatase content positively correlates with whole-rock TiO_2 and Nb contents (Figure 6a and 6b), indicating that the Nb is mainly hosted in the anatase. The clay rocks contain abundant cookeite, which exhibits a significant positive correlation with Li content, thus indicating that cookeite is the main host mineral for Li.

5.2 The genesis of cookeite

Before the 1960s, it was believ that pyrophyllite, dickite, and chlorite form in mineral veins and hydrothermal alteration zones associated with metamorphic rocks and hydrothermal activity (Fu et al., 2012). Further research has shown that these minerals are also present in sedimentary rocks such as coal, sandstone, sedimentary iron ores and clay rocks, in-

dicating they can also formed in supergene systems (Ehrenberg et al., 1993; McAulay et al., 1993; Lanson et al., 2002).

In the present study, the cookeite might have formed through the alteration of pyroclastic materials (such as volcanic ash), because the Heshan Formation in Guangxi might have been derived from the ELIP and Permian Paleo-Tethyan magmatic arc (Deng et al., 2010; Yu et al., 2016; Hou et al., 2017). Clay rocks formed by hydrothermal alteration usually have a low degree of chemical weathering along with high levels of potassium, sodium, and quartz (Gao et al., 2016). For example, the McDermitt (Nevada, USA) clay-type Li deposit is located in a Cenozoic caldera, and its ore mineral hectorite was derived from the transformation of Li-rich pyroclastic materials in response to hydrothermal activity. The ore exhibits a porous texture, with a low degree of weathering (CIA average 53.3), high SiO₂ contents averaging 73.7 wt.%, CaO contents averaging 2.05 wt.%, MgO contents averaging 3.24 wt.%, Na₂O contents averaging 2.46 wt.%, and K₂O contents averaging 3.27 wt.% (Benson et al., 2017; Castor and Henry, 2020). These characteristics are markedly different from the Li-rich clay rocks in Pingguo, which has a high degree of weathering (CIA average 96.3), low K₂O contents averaging 0.58 wt.%, Na₂O contents averaging 0.4 wt.%, CaO contents averaging 0.1 wt.% and

MgO contents averaging 0.44 wt.%. This indicates that the Li-rich clay rocks and cookeite in Pingguo were formed through weathering and subsequent deposition, rather than by hydrothermal alteration (Tables 1 and 2).

In sedimentary rocks, clay minerals may be transported as detritus or formed by secondary processes during diagenesis. such as solution precipitation and transformation from other minerals (He, 2001; Ouahabi et al., 2017; Fang et al., 2019). During continental weathering, the dissolution of primary minerals results in the loss of mobile elements such as K, Na, Ca, Mg, Sr, and Li, whereas immobile elements such as Al, Si, and Fe remain to form different assemblages of clay minerals and oxides, which can be used to measure the intensity of continental weathering (Setti et al., 2014; Fang et al., 2019; Yu et al., 2019). For example, chlorite often exists in arid and cold areas dominated by physical weathering, but with weak chemical weathering. In contrast, the presence of kaolinite and bauxite indicates warm and humid climate conditions and strong chemical weathering (Setti et al., 2014; Vögeli et al., 2017). The average CIA values of the Pingguo bauxite and clay rock samples are 99.4 and 96.3, respectively, indicating intense chemical weathering. Therefore, the presence of cookeite in the clay rocks can be attributed to transformation from other minerals during diagenesis, rather than to an autogenic origin (Table 2; Thiry, 2000).

It is largely held that cookeite discovered in bauxite ores

has been transformed from other clay minerals during diagenesis (Vrublevskaja et al., 1975; Shen et al., 1986; Song et al., 1987). For example, cookeite, pyrophyllite, and diaspore coexist in the bauxite deposits of the Djalair area, Central Asia, where there is evidence of mineral phase transformations: A large amount of cookeite present in the fractures of pyrophyllite indicate that cookeite was transformed from pyrophyllite (Vrublevskaja et al., 1975). In addition, the cookeite in the clay rocks from Henan has been considered as transformed from illite (Shen et al., 1986). In the clay rocks of Pingguo, cookeite, illite and pyrophyllite coexist and are closely related (Figure 2i-2k). Furthermore, the pyrophyllite content shows a significant negative correlation with cookeite content (R^2 =-0.95) and illite content $(R^2 = -0.94)$, indicating that the cookeite might have formed through the reaction of pyrophyllite or illite with a Li-rich solution (Figure 7c-7d).

5.3 Provenance of the upper Permian Heshan Formation in Pingguo, Guangxi

Previous studies on the provenance of the Heshan Formation in western Guangxi focused mainly on the bauxite source in the lower layer (e.g., MacLean et al., 1997; Wang et al., 2012a). In the last century, on the basis of mineral and elemental geochemical affinities (e.g., Al₂O₃/TiO₂, REE pat-



Figure 7 (Color online) Bivariate plots of cookeite vs. Li (a), MgO vs. Li (b), pyrophyllite vs. cookeite (c) and pyrophyllite vs. illite (d).

terns), the Maokou Formation limestone has been considered an important source of bauxite (Dai et al., 2007). However, carbonate rocks usually have low Al₂O₃ content (< 0.5 wt.%) and were not considered to be the main sources of the bauxites (Yin, 2009; Yu et al., 2014). For instance, the Al₂O₃ contents of three samples of the Maokou Formation limestone from Pingguo are less than 0.1 wt.%, which is much lower than those of igneous and clastic rocks (Table 2). In recent years, with the rapid development of *in situ* zircon analysis, detrital zircon provenance tracing has facilitated significant progress in the study of bauxite provenance. Detrital zircon studies on the Heshan Formation over multiple areas of western Guangxi yield consistent results, with a single age peak (ca. 260 Ma) and a negative Hf isotope composition, which indicate sources mainly in the ELIP- or Permian magmatic arc-related felsic rocks (Deng et al., 2010; Yu et al., 2016; Hou et al., 2017). Zircons are largely produced in felsic rocks and rarely seen in mafic rocks. Although the detrital zircon studies noted above indicate a source of felsic rocks, mafic rock sources cannot be neglected (Hou et al., 2017). The abundant and widely distributed basalt in the ELIP might represent one of the sources of the Heshan Formation, but this is yet to be confirmed via detrital zircon analysis. Therefore, other methods are required to elucidate the provenance of the Heshan Formation.

5.3.1 Provenance analysis of immobile elements

Immobile elements such as Nb, Ta, Zr, Hf, Ti and Al are usually stable during supergenetic and hydrothermal alteration, and Al₂O₃/TiO₂, Zr/Hf, and Nb/Ta ratios have proven effective in tracing the provenance of sedimentary rocks (Zhong et al., 2013; Dai et al., 2014; Zhang et al., 2016). The Al₂O₃/TiO₂ ratios of mafic, intermediate, and felsic rocks range from 3 to 8, 8 to 21, and 21 to 70, respectively (Hayashi et al., 1997). The bauxites and clays of the Heshan Formation in Pingguo plot within the field of intermediate and felsic rocks in Al₂O₃/TiO₂ diagram, respectively (Figure 8a). On the Al₂O₃/TiO₂-Eu/Eu* plot, most samples lie within the peralkaline felsic rock field (Figure 8b), with a few clay rock samples plotting within the peraluminous felsic rock field. The bauxite appears to have formed primarily from alkaline felsic rocks, whereas the clav rocks have a mixed origin in peralkaline and peraluminous felsic rocks. Bivariate diagrams of CIA-Al₂O₃ and Zr/Hf-Zr show that the bauxites and clay rocks have completely different evolutionary trends and that their source rocks are distinct (Figure 8c-8d).

In sedimentary rocks, Zr and Hf mainly occur in zircon, whereas Nb and Ta primarily occur in anatase (Zhao et al., 2008; Chen and Yang, 2015). Therefore, the whole-rock Zr/ Hf and Nb/Ta values essentially represent the values of zircon and anatase, respectively, for effective provenance tracing (Ballouard et al., 2016; Wu et al., 2017). The bauxite



Figure 8 (Color online) Bivariate plots of Al₂O₃ vs. TiO₂ (a), Al₂O₃/TiO₂ vs. Eu/Eu* (b), CIA vs. Al₂O₃ (c) and Zr/Hf vs. Zr (d).

and clay rocks in the Heshan Formation differ significantly in terms of their Nb/Ta ratios, indicating an abrupt change in provenance. The Nb/Ta ratio of bauxite ranges from 20.9 to 29.7, with an average of 24.8, which exceeds the value for chondrite (19.9; Münker et al., 2003) and plots within the field for alkaline felsic rocks and A₁-type granitoids (Figure 9). In contrast, the average Nb/Ta ratio for the clay rocks is 17.8, close to that of upper continental crust (UCC=13–15). The clastic rocks in the Nadou profile have a very low Nb/Ta ratio (4.3–16, average 9.8), and plot within the fields of peraluminous felsic rock and A₂-type granitoids in Nb-Nb/Ta diagram (Figure 9; Green, 1995; Hou et al., 2017).

Generally, the Nb/Ta ratios of silicate reservoirs on Earth are lower than that of chondrite (19.9), a phenomenon known as the "mysterious disappearance of Nb" (Münker et al., 2003; Tang et al., 2019). Rocks with higher Nb/Ta values than chondrite are mainly alkaline rocks, basic dike swarms, Archean Tonalite-Trondhjemite-Granodiorite (TTG), and Archean mafic volcanic rocks (Zhao et al., 1999). As noted above, the source of the bauxites in the Heshan Formation is closely associated with the ELIP or the Permian Paleo-Tethyan magmatic arcs, thus excluding provenance contributions from Archean TTG and Archean mafic rocks. The small ELIP basic dike swarms that are largely developed in the inner-intermediate zone rather than the outer zone of the ELIP cannot be the major source of the large-scale bauxite deposits in western Guangxi (Li et al., 2010). Alkaline rocks generally form in a non-orogenic environment (Eby, 1992; Zhao et al., 2008), suggesting a possible contribution from the ELIP. Alkaline felsic rocks in the ELIP, such as A₁-type granite and svenite with Nb/Ta ratios higher than that of chondrite are the most likely source for the bauxite deposits in western Guangxi (Zhou et al., 2005). For example, the Baima alkaline syenite and some syenites and A₁-type granites in Ailanghe, Cida, and Taihe, in the Panxi area are characterized by high Nb/Ta ratios, averaging 20.3 with a maximum of 26.8 (Shellnutt and Zhou, 2008; Zhong et al., 2007, 2009, 2011). Alkaline felsic rocks such as A_1 -type granite are usually enriched in Zr, Hf, Nb, Ta and other incompatible elements, but lacking in Ni, Co, Sc, Ba and Sr (Eby, 1992; Nyman et al., 1994), which is consistent with the enrichment of Zr, Hf, Nb and Ta in the Heshan Formation bauxite, indicating that the ELIP alkaline felsic rocks are a possible source. In the bivariate diagram of Zr/Hf-Nb/Ta (Figure 10), Pingguo bauxites fall within the field of ELIP alkaline rocks and A₁-type granite. Conversely, the clay rocks are located within the field of peraluminous granite (including A₂-type granite) or moderately fractionated granite, and are consistent with the distribution of the intermediate-felsic rocks of the Permian magmatic arc. The



Figure 9 Bivariate plots of Nb vs. Nb/Ta for samples of the Heshan Formation. Background data fields are from Ballouard et al. (2020); Nadou data are from Hou et al. (2017); UCC data are from Münker et al. (2003); ELIP data are from Xu et al. (2001, 2010), Xiao et al. (2004), Shao et al. (2007), Shellnutt and Zhou (2007, 2008), Shellnutt and Jahn (2010), and Zhong et al. (2007, 2009, 2011); Permian igneous arc data are from Hoa et al. (2008) and Halpin et al. (2016).



Figure 10 Bivariate plots of Zr/Hf vs. Nb/Ta. Nadou data are from Hou et al. (2017). Sources of ELIP and Permian igneous arc data are the same as those in Figure 9.

Nb-Nb/Ta relationship in the clay rocks of the Heshan Formation in Pingguo also shows a close resemblance to that of the Permian Paleo-Tethyan magmatic arc (Figure 9), indicating that those rocks are a potential source.

5.3.2 Provenance analysis of rare earth elements

Mafic and ultramafic rocks generally exhibit weak negative or no Eu anomalies, whereas felsic rocks show strongly negative Eu anomalies. These characteristics, which may be preserved in sedimentary rocks, can be used as provenance indicators (Taylor and McLennan, 1985; He et al., 2007). The chondrite-normalized REE pattern of the Heshan Formation in Pingguo is similar to that of felsic rocks (Figure 11). In particular, the clay rocks from the Jiaomei section show strongly negative Eu anomalies, which are similar to those of peraluminous and highly fractionated granites (Chen and Yang, 2015; Wu et al., 2017). Furthermore, the bauxite and clay rocks of the Heshan Formation exhibit a significant REE tetrad effect (groups 3 and 4; Figure 11), which has been observed in only a few natural materials to date. For example, some marine organisms, shells and corals, and shallow groundwaters exhibit a W-type tetrad effect, whereas some granite, alkaline rocks and detrital materials yield an M-type tetrad effect (Masuda et al., 1987; Takahashi et al., 2002; Feng et al., 2011). The M-type tetrad effect is prevalent in granites, particularly highly fractionated and peraluminous types, and in alkaline rocks from South China, Xinjiang Province, and other regions (Zhao et al., 1992; Wu et al., 2011; Ballouard et al., 2016).

Highly fractionated and peraluminous granites usually show strong Eu depletion because of high degrees of separation and crystallization. This results in extreme enrichment of volatile and alkali metals, rare metals, and REEs, and finally results in fluid-melt coexistence and interactions leading to the formation of an M-type REE tetrad effect in the melt phase (Zhao et al., 1999). For example, lepidolite granite with rare metal mineralization in the Erzgebirge region of Europe shows a significantly negative Eu anomaly and REE tetrad effect (Förster et al., 1999; Ballouard et al., 2020). The clay rocks of the Heshan Formation exhibit strongly negative Eu anomalies and an M-type tetrad effect, indicating that they might have originated from peraluminous or highly fractionated felsic rocks. The bauxite deposits exhibit moderately negative Eu anomalies and an M-type tetrad effect, indicating a close relationship with alkaline felsic rocks (e.g., A₁-type granite, syenite, trachyte).

5.3.3 Abrupt change in source for the bauxite and clay rock

The Nb-Nb/Ta and Zr/Hf-Nb/Ta relationships for the Maokou Formation limestone are markedly different from those of the Heshan Formation in Pingguo. Although their REE patterns share certain similarities, differences include a negative Ce anomaly and a reduced negative Eu anomaly for



Figure 11 Chondrite-normalized REE patterns of the Jiaomei section (a) and the Xinxu section (b). Normalized values are from Sun and McDonough (1989). Data for ELIP basalts and granites are from Xu et al. (2001) and Xiao et al. (2004), and those for ELIP peraluminous granites are from Zhong et al. (2007).

the Maokou Formation, indicating it is not the major source of the Heshan Formation (Figures 9-11; Deng et al., 2010; Yu et al., 2016; Hou et al., 2017). Provenance analyses using Al₂O₃/TiO₂, Nb/Ta, Zr/Hf, and REEs reveal that the source of the Heshan Formation clastic rocks lies mainly in intermediate-felsic rocks, with a small contribution from the Maokou Formation limestone and ELIP basalts. Moreover, the provenances of the Nb-rich bauxite and the overlying Lirich clay rocks are distinct. The Nb-rich bauxite in the lower Heshan Formation was derived from the weathering of ELIP alkaline felsic rocks (e.g., A1-type granitoids, alkaline syenite, and trachyte), whereas the source of the overlying Lirich clay rocks are peraluminous or moderately fractionated felsic rocks in the Permian Paleo-Tethvan magmatic arc (Figure 1a). Similar abrupt changes in provenance have been observed in the Xuanwei, Longtan (eastern Yunnan, southern Sichuan, western Guizhou, and Chongqing), and Linghao (western Guangxi) formations overlying ELIP basalts (Figure 1c; Huang et al., 2014). In addition, the lowermost Xuanwei Formation and Longtan Formation are also rich in critical metals, such as Nb(Ta), Zr(Hf), Ga and REEs, and are referred to as polymetallic layers (Figure 1c; Dai et al., 2010; Zhao and Graham, 2016; Zhao et al., 2016, 2017). The discovery of Nb-rich bauxite in the lower Heshan Formation expands the Nb-rich polymetallic layer occurrence from the intermediate zone to the outer zone (Pingguo area, Guangxi) of the ELIP. This finding has improved the understanding of ELIP distributions and related mineralization (Figure 1c).

Large-scale eruption of the intermediate-felsic igneous rocks occurred in the latest period of the ELIP event (251–253 Ma). ELIP alkaline felsic rocks such as syenite and trachyte were important sources for bauxite and Nb in the Heshan Formation (Fan et al., 2004; Wang et al., 2015). The Permian (277–252 Ma) igneous rocks that formed in response to the westward subduction between the Yangtze Plate and the Indochina Block have also been reported in many regions, mainly along the Song Ma suture zone. It is

speculated that the late Permian volcanic event was widespread and produced a large amount of pyroclastic material (e.g., volcanic ash), which provided a major source for the Heshan Formation (Figure 1a; Hoa et al., 2008; Metcalfe, 2006; Halpin et al., 2016; Yu et al., 2016). In addition, abundant detrital zircons of magmatic origin related to Permian subduction have been reported from the late Paleozoic-Mesozoic sedimentary rocks on the western margin of the Yangtze block (Zhong et al., 2013; Hou et al., 2017; Ke et al., 2018; Xu et al., 2019). Therefore, Permian Paleo-Tethyan eastward-subduction-related igneous rocks might also have provided sufficient source material for the clay rocks of the Heshan Formation. Due to subsequent weathering and erosion, however, the igneous arc was not completely preserved. Island arc-type gabbro diorite and granodiorite associated with Paleo-Tethyan eastward subduction are preserved only on the eastern side of the Ailaoshan-Song Ma suture zone (Xu et al., 2020).

5.4 Sources of Nb and Li

Correlation analysis of minerals and elements show that Nb in the bauxite of the Heshan Formation is mainly hosted in anatase, which was either inherited from the parent rocks (the ELIP alkaline felsic rocks) or formed through the transformation of Ti-rich minerals in the parent rocks (Figure 6a-6b). Therefore, ELIP alkaline felsic rocks represent a common source for bauxite and Nb. During weathering and deposition, the immobile element Nb was preserved in Tirich minerals and gradually enriched as weathering progressed. The mechanism of enrichment of Li in clay rocks, however, is markedly different. During chemical weathering, as minerals are dissolved, active Li ions are discharged into water, which eventually flow into oceans or lakes; thus, the Li-rich clay rocks might be the product of clay minerals interacting with aquatic Li ions (Wang et al., 2006; Sun et al., 2018).

As noted above, the clay rocks of the Heshan Formation in Pingguo were formed through reactions between a Li-rich solution (pore water or groundwater) and clay minerals such as illite and pyrophyllite during diagenesis. The Heshan Formation bauxites and clay rocks formed in marine carbonate platforms: the occurrence of pisolitic and oolitic bauxite indicates a coastal environment (Table 2). The main sources of Li in pore water and groundwater during the diagenesis of Li-rich clay rock are: (1) Surface water permeation, including surface brine; (2) wall rock extraction (i.e., pore water and groundwater extracted Li from sediments and basement rocks); (3) replenishment of Li by deep hydrothermal fluids; and 4) replenishment of Li by underground brine (Tan et al., 2012; Wang et al., 2014; Sun et al., 2018). The Li concentrations in surface water (average value of 1.56 µg/L) and seawater (180 µg/L) are relatively low (You and Chan, 1996; Witherow et al., 2010), and are therefore not the main sources of the Li enrichment in pore water and groundwater. Although the Li concentration in saline lake brine is relatively high, there is abundant evidence to indicate that the Heshan Formation in Pingguo is a product of coastal deposition under warm-humid climate conditions (e.g., Hou et al., 2017; Liu et al., 2017), rather than deposition in an inland arid climate or enclosed lake basin. Therefore, saline lakes were not the main source of the Li. Although pore watergroundwater came largely from the infiltration of surface water, its interaction with wall rocks and sediments could have extracted Li and Mg to form alkaline water, which might have served as a potential source of Li. A number of studies have shown that marine sediment pore water generally has a higher Li concentration than overlying seawater, with a maximum value of 20 mg/L (e.g., Pogge von Strandmann et al., 2014; Tomascak et al., 2016).

Generally, hydrothermal fluids and underground brines (>1000 µg/L) have high Li concentrations and can serve as important sources of Li in saline lakes worldwide (Wang et al., 2006; Tan et al., 2012; Tomascak et al., 2016; He et al., 2020). The Heshan Formation, however, is composed of typical sedimentary rocks that are unrelated to hydrothermal alteration, as indicated by the results of mineralogical and geochemical studies. Deep hydrothermal fluids need not be the main source of Li for the Pingguo Li-rich clay rocks. For example, the provenance of the Carboniferous Benxi Formation bauxite in North China lies in the basement carbonates or the northern edge of the North China Plate, where there is no record of volcanic eruptions or magmatic intrusions and related hydrothermal activities (Cai et al., 2015; Wang et al., 2016). In addition, Shen et al. (1986) and Song et al. (1987) have shown that cookeite in bauxite ore-bearing rock was transformed from illite rather than by hydrothermal alteration during diagenesis. Underground brines that are rich in Ca, Na, K, Mg and Li ions may form from inland saline lakes under arid climates, and in coastal areas (Han et

al., 1996). As noted previously, there are no indications of the formation of inland saline lakes and associated underground brines in the Pingguo area during the late Permian. Coastal underground brines occur in coastal sediments where they develop through evaporation, concentration, accumulation, and burial metamorphism of syngenetic seawater (Han et al., 1996). Given the depositional environment of the Li-rich clay rocks in Pingguo, this suggests that coastal underground brine was a possible source of the Li. Modern examples of abundant coastal underground brines are found in the coastal region of Shandong, Liaoning, and Tianjin in northern China (Han et al., 1996). For instance, the coastal underground brine reservoir in Laizhou Bay, Shandong, is 50-120 m thick and has a burial depth of 0-150 m, over an area of 1500 km^2 ; the degree of mineralization of the brine is 50-200 g/L. The brine exists in 3-4 aquifers in the coastal sandstone and clay rock layers and is characterized by its extensive distribution, high concentration, and large mineral reserves (Han et al., 1996; Su et al., 2011).

In summary, the Nb in the bauxite of the Heshan Formation in Pingguo originated mainly from the ELIP alkaline felsic rocks, and the Li in the overlying Li-rich clay rocks likely originated from groundwater or through pore-water extraction from strata and sediments, or from the direct replenishment of coastal underground brines.

6. Conclusions

In this study, mineralogical and geochemical analyses were conducted on bauxite and overlying clay rocks in the lower section of the upper Permian Heshan Formation in Pingguo, Guangxi. The preliminary conclusions are:

(1) Super-enrichment of Nb (in bauxites) and Li (in clay rocks) occurs in the Heshan Formation, and exceeds the cutoff grades for independent Nb and Li deposits. The formation is therefore highly prospective. The bauxite deposit contains a large amount of anatase, which positively correlates with the whole-rock concentrations of TiO_2 and Nb, indicating that the Nb is largely hosted in anatase. The clay rocks contain abundant cookeite, which positively correlates with Li content, indicating that cookeite is the main carrier mineral of Li.

(2) In clay rocks, cookeite coexists with clay minerals such as illite and pyrophyllite, indicating a metallogenic relationship. Combined with the characteristics of mineral assemblages, it is proposed that the cookeite formed as a result of the reaction between clay minerals and Li-Mg-rich alkaline pore water or groundwater during diagenesis. Superenrichment of Li may be the result of extraction from wall rocks or from coastal underground brine.

(3) Provenance analysis of immobile elements and REEs indicates that the sources of the Nb-rich bauxite and the

overlying Li-rich clay rocks are distinct. The bauxite and Nb were derived largely from ELIP-related alkaline felsic rocks (e.g., A₁-types granite, rhyolite, syenite, trachyte), and the clay rocks from peraluminous or moderately fractionation felsic rocks associated with the Permian igneous arcs of Paleo-Tethys.

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